SMB1001

Implementation & Assessment Capabilities

Practical. Tiered. SMB-Ready.

Cybernion

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What is SMB1001?

SMB1001 is a graduated, tiered cyber security certification standard designed specifically for small and medium businesses. It sets out controls across five ascending tiers, Bronze, Silver, Gold, Platinum, and Diamond, letting an organisation certify at a level that matches its size, risk, and maturity, and step up over time.

Tiered Certification

Organisations certify against one of five tiers, with lower tiers based on self-attestation and higher tiers requiring independent verification, so effort scales to the business.

Certification is renewed annually, keeping controls current as the business and threat environment change.

1 **Built for SMBs**

Controls are practical and proportionate, not scaled-down enterprise frameworks that overwhelm smaller teams.

2 **Five Ascending Tiers**

Bronze to Diamond let a business start where it is and step up as maturity and risk grow.

3 **Attestation to Verification**

Lower tiers are self-attested. Higher tiers require independent verification, so assurance rises with the tier.

Why SMB1001?

Right-Sized Compliance

A cyber standard proportionate to small and medium business, not enterprise overhead

Contract Eligibility

Increasingly requested in supply chains and by larger customers and insurers

Security Maturity

Structured uplift across the controls that matter most for SMBs

Cyber Insurance

Supports insurer expectations and can improve access to cover

Credibility & Trust

Demonstrates a real, certified commitment to protecting customer data

Our SMB1001 Services

A holistic service across Advisory, Readiness, and Certification with independence between readiness and verification roles.

SMB1001 Advisory

- Tier selection and gap analysis
- Control design and guidance
- Scope definition support
- Roadmap across tiers
- Tooling and vendor selection

SMB1001 Readiness

- Control implementation
- Evidence collection & management
- Documentation (policies, SOPs)
- Staff training and awareness
- Continuous compliance monitoring

SMB1001 Certification

- Independent verification
- Control testing & verification
- Tier-based risk analysis
- SMB1001 Certification Report
- Controls Matrix

SMB1001 Certification Process

Four stages, renewed annually or when significant changes occur.

1 Plan & Prepare

- Select target tier & timeline
- Identify key business owners
- Establish communication process
- Review existing documentation

2 Scope Definition

- Map systems, data & suppliers
- Confirm tier requirements
- Agree control set & boundaries
- Define evidence requirements

3 Assess

- Control testing and review
- Verification against the tier
- Risk analysis for the business
- Evidence and gap review

4 Certify

- SMB1001 Certification Report
- Controls Matrix
- Detailed findings & recommendations
- Pathway to the next tier

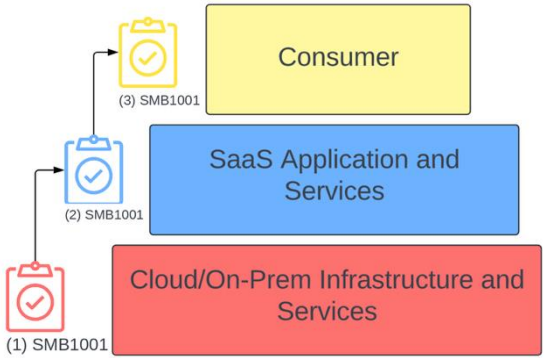
Case Study 1 - SMB1001 Certification

A 40-person accounting firm supplying services to larger clients engaged us to certify against SMB1001 Gold, covering its Microsoft 365 environment, client data systems, and remote-working staff.

Requirements

- Assess the firm's controls against the SMB1001 Gold tier across Microsoft 365 and client data systems
- Verify the security of remote-working arrangements that access sensitive client data
- Provide recommendations to certify at Gold and prepare a path toward Platinum

Our Solution



By verifying controls against the Gold tier and testing real arrangements, we certified the accounting firm at SMB1001 Gold and gave it a clear, costed path toward Platinum as its client obligations grow.

Key Highlights

- **Plan and Prepare:** Define clear objectives, target tier, and comms process
- **Define the Scope:** Scope the certification to cover Microsoft 365, client data systems, and remote-working staff
- **Assess:** Conduct control testing, verification, and risk analysis against the Gold tier
- **Report:** A detailed certification report and controls matrix highlighting strengths, gaps, and the path to Platinum

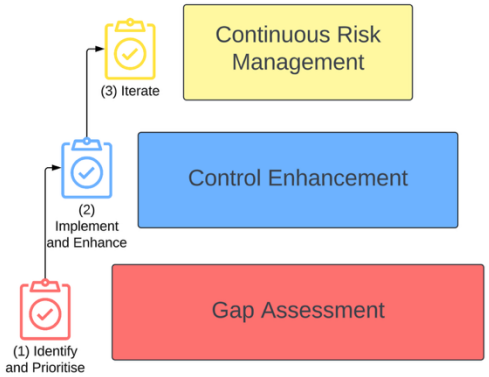
Case Study 1 - SMB1001 Readiness

A regional logistics SMB needed to move from SMB1001 Bronze to Silver to satisfy a major customer's supply-chain requirements, calling for stronger controls across its systems and staff.

Requirements

- Lift controls from the Bronze tier to meet the Silver tier requirements
- Ensure consistent implementation across systems, devices, and staff to reduce exposure
- Prepare for independent verification by embedding repeatable controls and evidence
- Establish repeatable evidence collection and management to support annual renewal

Our Solution



A comprehensive gap analysis identified where the business fell short of the Silver tier, followed by targeted implementation and monitoring so it met its customer's supply-chain requirement and could sustain certification at renewal.

Key Highlights

- **Gap Analysis:** A comprehensive review to identify gaps between the Bronze tier and the Silver tier requirements
- **Control Implementation:** Strengthen access, backup, patching, and awareness controls to meet the Silver tier
- **Continuous Monitoring:** Monitoring mechanisms to sustain controls and readiness for verification
- **Documentation and Training:** Detailed documentation and staff training to support the new controls and annual renewal

Important SMB1001 Artefacts

The documents your assessor will examine, and what you receive in return.

Input: What You Provide

CSP	Cyber Security Policy
AUP	Acceptable Use Policy
BRP	Backup & Recovery Plan
MFA	Multi-Factor Authentication Policy
IRP	Incident Response Plan
PMP	Patch Management Plan
ACP	Access Control Policy
AWR	Awareness Training Records
ASR	Asset & Software Register
VSL	Vendor & Supplier List

+ Other policy and process documents as agreed during scoping.

Output: What You Receive

1 SMB1001 Certification Report

A comprehensive evaluation of the business's controls against the target SMB1001 tier, covering identified gaps and their severity, with remediation guidance.

2 Controls Matrix

A structured mapping of controls to the SMB1001 tier requirements, showing coverage and evidence, supporting certification and the path to higher tiers.

Thank You

Ready to start your SMB1001 journey? Let's talk.



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A Trusted Cyber Companion